



<b>Account Opening Document List (Personal)</b> 开户文件清单（个人）	
1. Account opening form for individual 个人开户申请表	
2. Self-certification form- Entity 自我证明表格-实体	
3. W-8BEN-E or IMY form 非美国居民税务证明表格	
4. Risk tolerance for clients questionnaire 客户风险承担能力评估表格	
5. Client standing authority (Margin AC applicable) 客户常设授权(保证金账户适用)	
6. Certified true copy of ID card or valid passport 有效核证之身分证/ 护照证明副本	
7. Certified true copy of address of the latest 3 months 有效核证之最近 3 个月住址证明	

*** Document List of witness 见证人身份所需文件清单 (Example of Witness: SFC licensed person, Certified Public Accountant , Lawyer) (见证人身份例子：证监会持牌人，执业会计师，律师)	
1. Self-certified ID copy of witness 已签署之身份证明文件副本	
2. Certified copy of professional qualification documents 已签署之专业资格证明文件之副本	